

# ALERT

We (the Financial Services Authority – FSA) have published this statement today in order to warn investors against dealing with unauthorised firms.

This statement is to advise members of the public that an organisation identifying itself to UK citizens as:

## **Wellington Management Portfolios (Clone of FSA Authorised firm)**

<i>Offices:</i>	33 Boulevard Prince Henri L - 1724 Luxembourg	3 Finsbury Square London EC2A 1LN
<i>Tel:</i>	+352 2088 0301	+44 203 514 1923
<i>Fax:</i>	+352 2088 0302	+44 870 626 2076
<i>Website:</i>	<a href="http://www.wellingtonmp.com">www.wellingtonmp.com</a>	
<i>Email:</i>	<a href="mailto:info@wellingtonmp.com">info@wellingtonmp.com</a>	

is not authorised under the Financial Services and Markets Act 2000 (FSMA) to carry on a regulated activity in the UK. Regulated activities include, amongst other things, advising on investments and dealing and arranging deals in investments ('investments' include stocks and shares). We believe that the firm may be targeting UK customers.

**This entity is using the details of an FSA authorised firm. The following firms have no association whatsoever with the organisation described above that is identifying itself as Wellington Management Portfolios:**

- **Wellington Management International Ltd**  
Cardinal Place, 80 Victoria Street, London SW1E 5JL  
*FSA Firm Registration Number: 428513*

- **Wellington Management Portfolios (Luxembourg)**

*FSA Firm Registration Number: 208892*

Authorised under Schedule 5 of the Financial Services & Markets Act 2000 as a Collective Investment Scheme.

- **Wellington Management Portfolios (Dublin) plc**

*FSA Firm Registration Number: 191725*

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### **What does this mean for you?**

If you are an investor, you should be aware that **the Financial Ombudsman Service and the Financial Services Compensation Scheme are not available** if you deal with an unauthorised company or individual.

### **How can you check whether a firm is authorised?**

To find out whether a company or individual is authorised, go to the FSA Register Check Service at <http://www.fsa.gov.uk/Pages/register/>.

Date: 09 March 2012

**Note:** For an up-to-date list of unauthorised firms issued, please visit:

[www.fsa.gov.uk/Pages/Doing/Regulated/Law/Alerts/index.shtml](http://www.fsa.gov.uk/Pages/Doing/Regulated/Law/Alerts/index.shtml)

For further information on unauthorised firms targeting UK investors see the press release issued on 6 June 2006 at:

[www.fsa.gov.uk/pages/Library/Communication/PR/2006/053.shtml](http://www.fsa.gov.uk/pages/Library/Communication/PR/2006/053.shtml)

For more details about the tactics that are commonly adopted by unauthorised firms targeting UK investors see the warning issued in April 2005 available at:

[www.fsa.gov.uk/pages/Library/Communication/PR/2005/039.shtml](http://www.fsa.gov.uk/pages/Library/Communication/PR/2005/039.shtml)

The Shares Investments Scam leaflet is available at:

[http://www.fsa.gov.uk/static/FsaWeb/Shared/Documents/pages/doing/regulated/law/pdf/warning\\_to\\_shareholders.pdf](http://www.fsa.gov.uk/static/FsaWeb/Shared/Documents/pages/doing/regulated/law/pdf/warning_to_shareholders.pdf)