

Financial Services Authority



The **Financial Services Authority** ("FSA") of the United Kingdom would like to alert all recipients that:

Advent Capital GmbH (Clone of FSA authorised firm)

Mainzer Landstrasse 51

Frankfurt 60329

Germany

Tel: 0049 069 2992 0860

0203 384 5070

Email: info@advent-capital.com

Website: www.advent-capital.com

is **NOT** authorised under the Financial Services and Markets Act 2000 ("FSMA") to carry on a regulated activity in the United Kingdom. Regulated activities include, amongst other things, advising on investments and dealing and arranging deals in investments ("investments" include stocks and shares).

The FSA believes that this organisation may be targeting UK customers. Therefore, we have added this firm to our list of unauthorised overseas firms operating in the United Kingdom. This list can be found at:

<http://www.fsa.gov.uk/pages/Doing/Regulated/Law/Alerts/overseas.shtml>

The following UK Incorporated firms have **no association whatsoever** with the organisation discussed above identifying itself as Advent Capital GmbH:

Advent Group – 2 Minster Court, London, EC3R 7BB

- **Advent Capital Limited (Companies House No: 02868505)**
- **Advent Capital (Holdings) Plc (Companies House No: 03033609)**
- **Advent Capital (No.3) Limited (Companies House No: 05528808)**
- **Advent Capital (No.2) Limited (Companies House No: 04521289)**

Finantsinspektsioonis
KÄTTE SAADUD
15 "september" 2011 a.
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