Financial Services Authority



The Financial Services Authority ("FSA") of the United Kingdom would like to alert all recipients that:

A WALKER ASSOCIATES (Clone of FSA Registered firm)

also uses ANDREW WALKER ASSOCIATES / AW ASSOCIATES

Tel: 0122 443 3969

Fax: 0122 454 3576

Website: www.awalkcrassociates.com
Email: info@awalkcrassociates.com

advisory@awalkerassociates.com

stockdepositqueries@awalkerassociates.com

is <u>NOT</u> authorised under the Financial Services and Markets Act 2000 ("FSMA") to carry on a regulated activity in the United Kingdom. Regulated activities include, amongst other things, advising on investments and dealing and arranging deals in investments ("investments" include stocks and shares).

The FSA believes that this organisation may be targeting UK customers. Therefore, we have added this firm to our list of unauthorised firms operating in the United Kingdom. This list can be found at:

http://www.fsa.gov.uk/doing/regulated/law/alerts/unauthorised-firms

This entity is using the details of a FSA Registered firm. The following firm has <u>no association whatsoever</u> with the organisation described above that is identifying itself as A Walker Associates, Andrew Walker Associates or AW Associates:

Andrew Walker

FSA Firm Registration Number: 414899

Andrew Walker is an appointed representative firm of St. James's Place Wealth Management Plc (FSA number: 195351).

If you have any further questions on this matter please email our Consumer Contact Centre at <u>consumer.queries@fsa.gov.uk</u>, or alternatively by telephone on 0845 606 1234. Overseas callers can contact us on (+44) 20 7066 1000.

30 August 2012

