Financial Services Authority



The Financial Services Authority ("FSA") of the United Kingdom would like to alert all recipients that:

A WARNER ASSOCIATES (Clone of FSA Registered firm) also uses ANDREW WARNER ASSOCIATES / AW ASSOCIATES

0845 004 7841 Tel:

0292 000 3525

Fax: 0292 000 3526

Website: www.awarnerassociates.com

Email: info@awarnerassociates.com

advisory@awarnerassociates.com

stockdepositqueries@awarnerassociates.com

Previous Tel: 0845 527 2857

Previous Tel: 0292 000 3520

Previous Fax: 0292 000 8739

Previous Website: www.aw-associates.co.uk Previous Email: info@aw-associates.co.uk

advisory@aw-associates.co.uk

stockdepositqueries@aw-associates.co.uk

is **NOT** authorised under the Financial Services and Markets Act 2000 ("FSMA") to carry on a regulated activity in the United Kingdom. Regulated activities include, amongst other things, advising on investments and dealing and arranging deals in investments ("investments" include stocks and shares).

The FSA believes that this organisation may be targeting UK customers. Therefore, we have added this firm to our list of unauthorised firms operating in the United Kingdom. This list can be found at:

http://www.fsa.gov.uk/doing/regulated/law/alerts/unauthorised-firms

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This entity is using the details of a FSA Registered firm. The following firm has no association whatsoever with the organisation described above that is identifying itself as A Warner Associates, Andrew Warner Associates, AW Associates:

Andrew Warner

FSA Firm Registration Number: 489099 Andrew Warner is an appointed representative firm of Julian Harris Mortgages Limited (FSA number: 304155).

If you have any further questions on this matter please email our Consumer Contact Centre at <u>consumer.queries@fsa.gov.uk</u>, or alternatively by telephone on 0845 606 1234. Overseas callers can contact us on (+44) 20 7066 1000.

09 May 2012 Updated 30 August 2012