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## Falkenstein und Stein

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19. October 2018 | [Investor Warning \(https://www.fma.gv.at/en/category/news-en/investor-warning/\)](https://www.fma.gv.at/en/category/news-en/investor-warning/)

Pursuant to Article 92 para. 11 first sentence of the Securities Supervision Act of 2018 (WAG 2018, Wertpapieraufsichtsgesetz 2018), the Austrian Financial Market Authority (FMA) may disclose to the general public by publication on the Internet, or in any other newspaper with nationwide circulation, that a particular natural or legal person (person) is not entitled to carry out certain investment services (Article 3 para. 2 nos. 1 to 4 WAG 2018), provided that said person has given cause for such action and informing the general public is deemed necessary and reasonable with regard to possible disadvantages of the person concerned.

By publication in the official gazette “Amtsblatt zur Wiener Zeitung” of 19 October 2018, the FMA hereby informs that

### **Falkenstein und Stein**

with its registered address apparently at

Hardstrasse 201

Zürich 8005

Switzerland

Tel: +41(44)5087484

Fax: +41(43)5088914

as well as

200 West St

New York, NY 10282

United States of America

Tel: +1(917)79305567

Fax: +1(917)7930577

as well as

52 Criswell Street

London, EC1Y4SA

United Kingdom

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Tel: +44(20)38071984

Fax: +44(20)37691489

info@falkenstein-stein.com

www.falkenstein-stein.com

is not entitled to provide investment services in Austria that require a licence. The provider is therefore not permitted to provide commercial investment advice in relation to financial instruments (Article 3 para. 2 no. 1 WAG 2018).

« [Merger of Raiffeisenbank Langen-Thal reg.Gen.m.b.H. with Raiffeisenbank Weissachtal eGen](https://www.fma.gv.at/en/merger-of-raiffeisenbank-langen-thal-reg-gen-m-b-h-with-raiffeisenbank-weissachtal-egen/) (<https://www.fma.gv.at/en/merger-of-raiffeisenbank-langen-thal-reg-gen-m-b-h-with-raiffeisenbank-weissachtal-egen/>)

[Announcement: FMA imposes sanction against CEEREF S.A. for a breach against the Stock Exchange Act \(BörseG; Börsegesetz\)](https://www.fma.gv.at/en/announcement-fma-imposes-sanction-against-ceeref-s-a-for-a-breach-against-the-stock-exchange-act-boerse-g-boerse-gesetz/) (<https://www.fma.gv.at/en/announcement-fma-imposes-sanction-against-ceeref-s-a-for-a-breach-against-the-stock-exchange-act-boerse-g-boerse-gesetz/>) »

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