

## Anneli Kivimägi

---

**Saatja:** Daniel Delaney <Daniel.Delaney@fca.org.uk>  
**Saatmisaeg:** 4. detsember 2013. a. 18:33  
**Adressaat:** 'warnings@esma.europa.eu'  
**Teema:** Firkin Asset Consultants

Dear Sirs

### **FCA ALERT – Firkin Asset Consultants**

The Financial Conduct Authority ("**FCA**") would like to alert the JFSA that:

5-501 Senba Center Building  
Chuo-Ku  
Osaka  
5410055  
Japan

12 Hilton Plaza  
West Office  
Tower 222  
Umeda Kita-Ku  
Osaka  
5300001  
Japan

**[www.firkinac.com](http://www.firkinac.com)**  
**[contact@firkinac.com](mailto:contact@firkinac.com)**

**Telephone:** +81645604022  
**Fax:** +81676357238

is not authorised under the Financial Services and Markets Act 2000 ("**FSMA**") to carry on a regulated activity in the United Kingdom. Regulated activities include, amongst other things, advising on investments and dealing and arranging deals in investments ("investments" include stocks and shares). The FCA believes that this organisation may be targeting UK customers.

If you have any further questions on this matter, please contact me on this email address.

Yours faithfully

**Daniel Delaney**  
Associate / Share Fraud Team / Enforcement and Financial Crime



**Financial Conduct Authority**  
25 The North Colonnade  
Canary Wharf  
London  
E14 5HS

Finantsinspektsioonile  
KÄTTE SAADUD  
" 5 " detsember 2013. a.  
nr .....

Tel: +44 (0)20 7066 5720  
[www.fca.org.uk](http://www.fca.org.uk)

## FCA UNRESTRICTED

This communication and any attachments contains information which is confidential and may be subject to legal privilege. It is for intended recipients only. If you are not the intended recipient you must not copy, distribute, publish, rely on or otherwise use it without our consent. Some of our communications may contain confidential information which it could be a criminal offence for you to disclose or use without authority. If you have received this email in error please notify [postmaster@fca.org.uk](mailto:postmaster@fca.org.uk) immediately and delete the email from your computer.

The FCA reserves the right to monitor all email communications for compliance with legal, regulatory and professional standards.

This email is not intended to nor should it be taken to create any legal relations or contractual relationships. This email has originated from

The Financial Conduct Authority (FCA)

25 The North Colonnade,

Canary Wharf,

London

E14 5HS

United Kingdom

Registered as a Limited Company in England and Wales No.1920623.

Registered Office as above

Switchboard: 020 7066 1000

Web Site: <http://www.fca.org.uk>

\*\*\*\*\*