(https://www.fma.gv.at/en) > News (https://www.fma.gv.at/en/category/news-en/) > Investor Warning (https://www.fma.gv.at/en/category/news-en/investor-warning/) > Hauck-Investment

Hauck-Investment

18. May 2018 | Investor Warning (https://www.fma.gv.at/en/category/news-en/investor-warning/)

Pursuant to Article 92 para. 11 first sentence of the Securities Supervision Act of 2018 (WAG 2018, Wertpapieraufsichtsgesetz 2018), the Austrian Financial Market Authority (FMA) may disclose to the general public by publication on the Internet, in the official gazette "Amtsblatt zur Wiener Zeitung", or in any other newspaper with nationwide circulation, that a particular natural or legal person (person) is not entitled to carry out certain investment services (Article 3 para. 2 nos. 1 to 4 WAG 2018), provided that said person has given cause for such action and informing the general public is deemed necessary and reasonable with regard to possible disadvantages of the person concerned.

By publication in the official gazette "Amtsblatt zur Wiener Zeitung" of 18 May 2018, the FMA hereby informs, that

Hauck-Investment

with its registered address apparently at

Drottninggatan 33 Box 16383 103 25 Stockholm Sweden

as well as

Peterborough Court 131 Fleet St London EC4A 2BB United Kingdom

Tel: + 46 (8) 124 00 443, + 44 (20) 8089 3062 Fax: + 46 (8) 408 39 645, + 44 (20) 8089 3077 info@hauck-investment.com www.hauck-investment.com

is not entitled to provide investment services in Austria that require a licence. The provider is therefore not permitted to provide commercial investment advice in relation to financial

instruments (Article 3 para, 2 no. 1 WAG 2018).

We use cookies to ensure that we give you the best experience on our website. If you continue to use this site we will assume that you are happy with it.

Yes

No

Read more (https://www.fma.gv.at/en/copyright-and-disclaimer/)

« <u>Suspension of Unit Certificate Trading (https://www.fma.gv.at/en/suspension-of-unit-certificate-trading-18/)</u>

Nagaharu Global (https://www.fma.gv.at/en/nagaharu-global/) »

Back to the list (https://www.fma.gv.at/en/category/news-en/)