

Financial Conduct Authority



The Financial Conduct Authority ("FCA") of the United Kingdom would like to alert all recipients that:

Hobart Roth LLC

1420 Fifth Avenue

Seattle

Washington 98101

Telephone: 0012064523327

Website: www.hobartroth.com

Email: admin@hobartroth.com

is NOT authorised under the Financial Services and Markets Act 2000 ("FSMA") to carry on a regulated activity in the United Kingdom. Regulated activities include, amongst other things, advising on investments and dealing and arranging deals in investments ("investments" include stocks and shares).

The FCA believes that this organisation may be targeting UK customers. Therefore, we have added this firm to our list of unauthorised firms and individuals list. This list can be found at:

<http://www.fca.org.uk/firms/being-regulated/enforcement/alerts/unauthorised-firms>

If you have any further questions on this matter please email our Consumer Contact Centre at consumer.queries@fca.org.uk, or alternatively by telephone on 0800 111 6768. Overseas callers can contact us on (+44) 20 7066 1000.

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KÄTTE SAADUD
"28" oktoober 2013.a.
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