

Financial Conduct Authority



The Financial Conduct Authority ("FCA") of the United Kingdom would like to alert all recipients that:

Boiler Room Name: JJ BAUER ASSET MANAGEMENT (Clone of EEA Authorised Firm)

May also use name: JJ BAUER EQUITY RESEARCH

Addresses: 46a Avenue John F Kennedy, L - 1855 Luxembourg
Neuriedgasse 4, Eisenstadt, A-7000, Austria (clone of authorised firm's address)
19 & 22/F Shibuya Mark City, 1-12-1 Dogenzaka, Shibuya-Ku, Japan
28 West 44th Street, New York 10036, USA

Telephone: 0035220202103; 0035227860512; 004372088046; 0081345209499;
0016464752093

Fax: 0035224610550; 0043268220563004

Website: www.jj-bauer.com

Email: info@jj-bauer.com

is NOT authorised under the Financial Services and Markets Act 2000 ("FSMA") to carry on a regulated activity in the United Kingdom. Regulated activities include, amongst other things, advising on investments and dealing and arranging deals in investments ("investments" include stocks and shares).

The FCA believes that this organisation may be targeting UK customers. Therefore, we have added this firm to our list of unauthorised firms and individuals list. This list can be found at:

<http://www.fca.org.uk/consumers/protect-yourself/unauthorised-firms/unauthorised-firms-to-avoid>

This entity is cloning the name and address of an EEA authorised firm. The following EEA authorised firm has no association whatsoever with the organisation discussed above identifying itself as JJ Bauer Asset Management:

Firm name: Josef Johann Bauer

Firm reference number: 446164

Address: Neuriedgasse 4, Eisenstadt, A-7000, Austria

If you have any further questions on this matter please email our Consumer Contact Centre at consumer.queries@fca.org.uk, or alternatively by telephone on 0800 111 6768. Overseas callers can contact us on (+44) 20 7066 1000.

14 September 15