Financial Services Authority



The Financial Services Authority ("FSA") of the United Kingdom would like to alert all recipients that:

MOUNT ROCK CAPITAL (Clone of FSA authorised firm) 0203 514 2066 0203 514 0842 0203 514 2067

is <u>NOT</u> authorised under the Financial Services and Markets Act 2000 ("FSMA") to carry on a regulated activity in the United Kingdom. Regulated activities include, amongst other things, advising on investments and dealing and arranging deals in investments ("investments" include stocks and shares).

The entity named above is using the FSA Registration Number of an FSA authorised firm. The entity named above has <u>no association whatsoever</u> with the following authorised firm:

 Mount Capital Ltd. (FSA Registration: 228524, Companies House No: 04624954), 105 Jermyn Street, London, SW1Y 6EE

In addition, it has <u>no association whatsoever</u> with the following firm:

Mount Rock Capital of 1 Canada Square, Canary Wharf, London, E14
 5DY (website www.mountrockcapital.com)

The FSA believes that this organisation may be targeting UK customers. Therefore, we have added this firm to our list of unauthorised overseas firms operating in the United Kingdom. This list can be found at:

http://www.fsa.gov.uk/pages/Doing/Regulated/Law/Alerts/overseas.shtml

If you have any further questions on this matter please email our Consumer Contact Centre at <u>consumer.queries@fsa.gov.uk</u>, or alternatively by telephone on 0845 606 1234. Overseas callers can contact us on (+44) 20 7066 1000.

Finantsinspektsioonis
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