

Financial Services Authority



The **Financial Services Authority** ("FSA") of the United Kingdom would like to alert all recipients that:

Wellington Management

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is **NOT** authorised under the Financial Services and Markets Act 2000 ("FSMA") to carry on a regulated activity in the United Kingdom. Regulated activities include, amongst other things, advising on investments and dealing and arranging deals in investments ("investments" include stocks and shares).

The entity named above is using the details of an FSA authorised firm. The following firm has no association whatsoever with the organisation that is identifying itself as Wellington Management:

- **Wellington Management International Ltd**
Cardinal Place, 80 Victoria Street, London SW1E 5JL
FSA Firm Registration Number: 428513

The FSA believes that this organisation may be targeting UK customers. Therefore, we have added this firm to our lists of unauthorised firms/individuals and unauthorised overseas firms operating in the United Kingdom. These lists can be found at:

<http://www.fsa.gov.uk/warnings>

If you have any further questions on this matter please email our Consumer Contact Centre at consumer.queries@fsa.gov.uk, or alternatively by telephone on 0845 606 1234. Overseas callers can contact us on (+44) 20 7066 1000.

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