

Financial Services Authority



The **Financial Services Authority** ("FSA") of the United Kingdom would like to alert all recipients that:

WELLINGTON MANAGEMENT GMBH (Clone of FSA Authorised firm)

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is **NOT** authorised under the Financial Services and Markets Act 2000 ("FSMA") to carry on a regulated activity in the United Kingdom. Regulated activities include, amongst other things, advising on investments and dealing and arranging deals in investments ('investments' include stocks and shares).

The FSA has added this firm to our list of unauthorised firms which can be found at:

<http://www.fsa.gov.uk/doing/regulated/law/alerts/unauthorised-firms>

The FSA authorised firm Wellington Management International Ltd (FSA Number: 428513) would like to inform the public that the organisation discussed above identifying itself as Wellington Management GmbH has no association whatsoever with Wellington Management International Ltd and its authorised affiliates.

If you have any further questions on this matter please email our Consumer Contact Centre at consumer.queries@fsa.gov.uk, or alternatively by telephone on 0845 606 1234. Overseas callers can contact us on (+44) 20 7066 1000.

09 August 2012

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